

FULCRUM

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT 37 OF 2002 (“FAIS”) Disclosure Information in terms of the General Code of Conduct for Authorised FSPs and Representatives, in terms of the Financial Advisory and Intermediary Services Act, No. 37 of 2002.

This disclosure document is available on request and is disclosed to each party who interacts with the Company on a contractual basis for the first time.

Fulcrum Treasury (Pty) Ltd

We provide investment solutions to our clients, by managing client investment accounts. We monitor client investment accounts and report to clients on a monthly basis. We do not receive or handle any money.

NOTICE

- Fulcrum is a registered Financial Services Provider (FSP).
- Fulcrum does not receive remuneration from product providers in respect of any insurance policy and no insurer provides more than 30% of Fulcrum's earnings.
- Fulcrum does not have ownership in excess of 10% of any insurer.
- Fulcrum does not directly engage with any policyholder and does not request that any person or policyholder complete any documentation.
- Fulcrum's only Representative is Daniel Frederik Berrange. Fulcrum does not have any Representatives under supervision.
- Fulcrum has in place a Conflict of Interest Policy and a Complaints Policy and Procedure which is available on request.

Company name

Fulcrum Treasury (Pty) Ltd
Registration No.: 2011/000914/07
FSP No: 44128
License: Category I
Category II - Discretionary FSP

Registered Address

Ballyoaks Office Park
1st Floor, Lacey Oak House
35 Ballyclare Drive
Bryanston
2191

Telephone Number

010 001 2860

Fax Number

086 679 2156

Email

info@fulcrum.co.za

Website:

www.fulcrum.co.za

Compliance Officer

Michelle Pedra
compliance@fulcrum.co.za
011 036 2432

Registered Key Individual

Daniel Frederik Berrange - Executive Director
compliance@fulcrum.co.za
010 001 2860

Approved Products

Securities and Instruments:	Money market instruments (Advice and Intermediary Services)
Securities and Instruments:	Debentures and securitised debt (Advice and Intermediary Services)
Securities and Instruments:	Bonds (Advice and Intermediary Services)
Securities and Instruments:	Money market instruments (Intermediary Services)
Securities and Instruments:	Debentures and securitised debt (Intermediary Services)
Securities and Instruments:	Bonds (Intermediary Services)
Participatory interests in Collective Investment Schemes (Advice and Intermediary Services)	
Deposits defined in the Banks Act - exceeding 12 months (Advice and Intermediary Services)	
Deposits defined in the Banks Act - 12 months or less (Advice and Intermediary Services)	
Long-term Deposits (Intermediary Services)	
Short-term Deposits (Intermediary Services)	

Insurances in place to provide protection for our clients

Professional Indemnity Insurer:	Lloyd's South Africa (Pty) Ltd & Compass Insurance Company Limited
Fidelity Guarantee Insurer:	Lloyd's South Africa (Pty) Ltd & Compass Insurance Company Limited

FULCRUM

Useful contact details

Short term Insurance Ombudsman | Sunnyside Office Park, 5th Floor, Building D, 32 Princess of Wales Terrace, Parktown | PO Box 32334, Braamfontein, 2017 | www.osti.co.za | (t) 011 726 8900 | (f) 011 726 5501 | (e) info@osti.co.za

FAIS Ombudsman | Eastwood Office Park, Baobab House, c/o Lynnwood Road & Jacobson Drive, Lynnwood Ridge | PO Box 74571, Lynnwoodridge, 0040 | www.faisombud.co.za | (t) 012 470 9080 | (f) 012 348 3447 | (e) info@faisombud.co.za

Registrar of Insurance (Financial Services Board) | Riverwalk Office Park, 41 Matroosberg Road, Menlo Park, Pretoria | PO Box 35655, Menlo Park, 0102 | www.fsb.co.za | (t) 012 428 8000 | (f) 012 346 6941 | (e) info@fsb.co.za